

Pittsburgh, PA 15213-3890

## QUality Assessment of System ARchitectures (QUASAR)

Donald Firesmith Acquisition Support Program (ASP)

Sponsored by the U.S. Department of Defense © 2006 by Carnegie Mellon University

maintaining the data needed, and c including suggestions for reducing	lection of information is estimated to ompleting and reviewing the collect this burden, to Washington Headqu uld be aware that notwithstanding an DMB control number.	ion of information. Send comments arters Services, Directorate for Info	s regarding this burden estimate ormation Operations and Reports	or any other aspect of the s, 1215 Jefferson Davis	his collection of information, Highway, Suite 1204, Arlington
1. REPORT DATE OCT 2006		2. REPORT TYPE		3. DATES COVERED <b>00-00-2006</b> to <b>00-00-2006</b>	
4. TITLE AND SUBTITLE				5a. CONTRACT NUMBER	
QUality Assessment of System ARchitectures (QUASAR)				5b. GRANT NUMBER	
				5c. PROGRAM ELEMENT NUMBER	
6. AUTHOR(S)				5d. PROJECT NUMBER	
				5e. TASK NUMBER	
				5f. WORK UNIT NUMBER	
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES)  Carnegie Mellon University ,Software Engineering Institute (SEI),Pittsburgh,PA,15213				8. PERFORMING ORGANIZATION REPORT NUMBER	
9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES)				10. SPONSOR/MONITOR'S ACRONYM(S)	
				11. SPONSOR/MONITOR'S REPORT NUMBER(S)	
12. DISTRIBUTION/AVAIL Approved for publ	ABILITY STATEMENT ic release; distributi	on unlimited			
13. SUPPLEMENTARY NO	OTES				
14. ABSTRACT					
15. SUBJECT TERMS					
16. SECURITY CLASSIFIC		17. LIMITATION OF ABSTRACT	18. NUMBER OF PAGES	19a. NAME OF RESPONSIBLE PERSON	
a. REPORT <b>unclassified</b>	b. ABSTRACT <b>unclassified</b>	c. THIS PAGE unclassified	Same as Report (SAR)	99	51.51.52.51.22.51.20.11

**Report Documentation Page** 

Form Approved OMB No. 0704-0188



#### **Topics**

What is System Architecture?

Why is System Architecture Critical?

Why Assess the System Architecture?

QUASAR System Architecture Assessment Method:

- Philosophy
- Quality Cases
- QUASAR Process



#### What is a System Architecture?

#### **Traditional Definition:**

the fundamental *structure* of a system in terms of its major components, their relationships to each other and the system's environment, and the principles governing the creation and evolution of the structure

#### More General Definition:

the most important, pervasive, top-level, strategic inventions, decisions, and their associated rationales about the system including its overall structure (i.e., essential architectural elements, their relationships, and their associated blackbox characteristics and behavior)



### Architecture vs. Design

#### **Architecture Decisions:**

- Pervasive across System Components
- Strategic Decisions and Inventions
- Higher-Levels of System
- Huge Impact on Quality, Cost, and Schedule
- <u>Drives Design</u>, Highest-Level Integration, and Integration Testing
- <u>Driven by Requirements</u> and Higher-Level Architecture
- Mirrors Top-Level Organization of Development Team

#### **Design Decisions:**

- Local within Individual System Components
- Tactical Decisions and Inventions
- <u>Lower-Levels</u> of System
- Smaller Impact on Quality, Cost, and Schedule
- <u>Drives Implementation</u>, Lowest-Level Integration, and Unit Test
- <u>Driven by Requirements, Architecture</u>, and Higher-Level Design



### Why is Architecture Critical?

#### **Architecture Defines:**

- Key System Components
- How Key Components Interact

#### **Architecture Affects:**

- Design Decisions
- Implementation Decisions
- Integration Decisions
- Testing Decisions

#### **Architectural Decisions Drive:**

- Ultimate System Quality
- Development Costs
- Development Schedule
- Sustainment Costs
- Maintenance and Upgradeability © 2006 by Carnegie Mellon University Version 0.2



### Why is Architectures Critical?<sub>2</sub>

The quality of the architecture drives the quality of the system:

- Availability
- Interoperability
- Modifiability
- Performance
- Reliability
- Robustness (Error, Failure, and Fault Tolerance)
- Safety
- Security
- Scalability
- Stability
- Testability
- ...



### Why Assess the Architecture?

#### **Determine System Architecture:**

- Quality
- Maturity and Completeness
- Integrity and Consistency
- Usability

#### **Determine Compliance:**

- Contract Compliance
- Requirements Compliance

#### Early Identification of System Architecture Defects:

- Fix Defects Early
- Decrease Costs
- Decrease Schedule



### Why Assess the Architecture?<sub>2</sub>

#### Manage Risks:

- System Architecture Risks
- System Risks

Provide Acquirer Oversight into System Architecture

#### **Develop Consensus:**

- Among Developers
- Between Acquirer and Developer Organization

Ensure Specification of Quality Requirements

Help Architects Succeed

Help Program Succeed



#### **How to Assess the Architecture?**

Assessment Philosophy
Quality Cases as Foundation
QUASAR Process:

- Phases
  - System Architecture Assessment Initiation
  - Subsystem Requirements Review
  - Subsystem Architecture Assessment
  - System Architecture Assessment Summary



### **Assessment Philosophy**

Quality Requirements Drive the System Architecture.

Architects should Make Case to Assessors:

- Architects Know Quality Requirement Drivers
- Architects Know What they Did and Why
- Architects Know Where Documented

Safety Cases can Generalize into **Quality Cases** (a.k.a., assurance cases) consisting of:

- Claims: Architecture Supports Quality Requirements
- Arguments: Architects' Architectural Decisions and Rationales
- Evidence: Architects' Documentation and Witnessed Demonstrations



### **Assessment Philosophy**<sub>2</sub>

Arguments must be Clear and Compelling.

Evidence must Be Credible.

Architects' Responsibilities:

- Prepare Quality Cases
- Provide Early Presentation Materials to Assessors
- Present Quality Cases (Make Case to Assessors)
- Answer Assessors' Questions

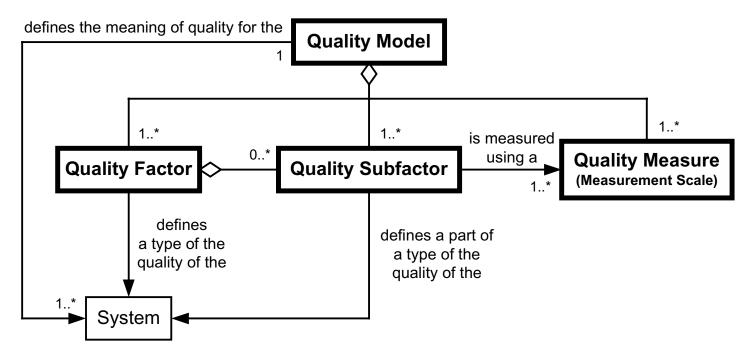
#### Assessor Responsibilities:

- Prepare for Assessments
- Probe Quality Cases
- Determine and Report Assessment Results



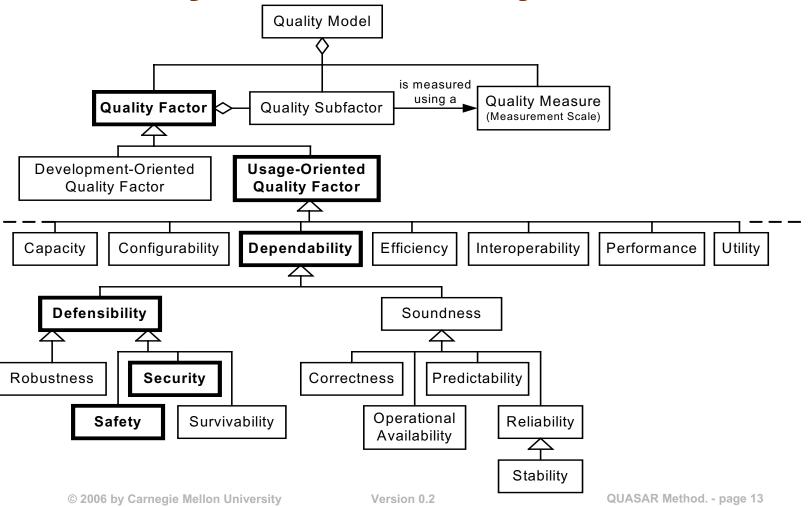
### **Quality Cases – Quality Model**

Quality of a system (and system architecture) is defined in terms of a quality model:



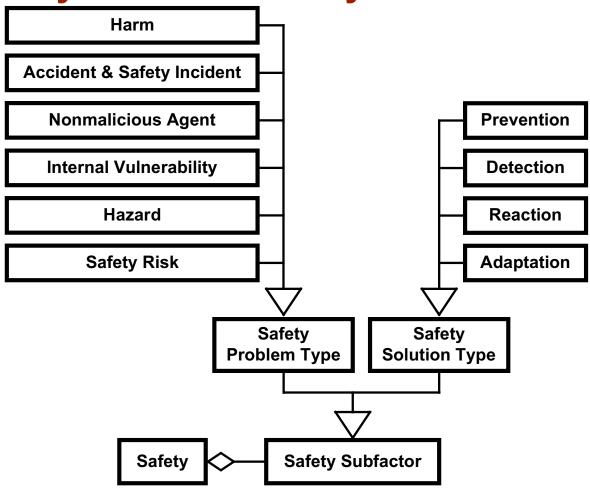


### **Quality Cases – Quality Factors**





#### **Quality Cases – Quality Subfactors**





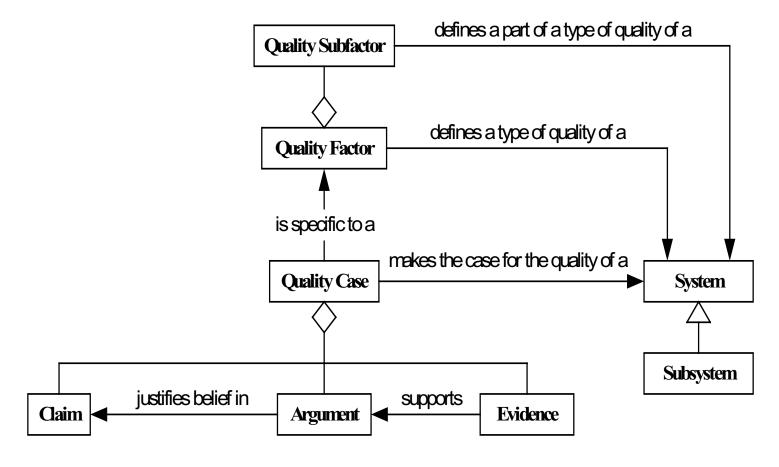
### **Quality Cases - Components**

- Claims
  - Their architecture adequately supports its derived and allocated quality goals and requirements
- Clear and compelling Arguments
  - Architecture decisions
  - Associated rationales
- Supporting Evidence
  - Official program documentation
  - Witnessed demonstrations

Simplified version of safety case from safety community

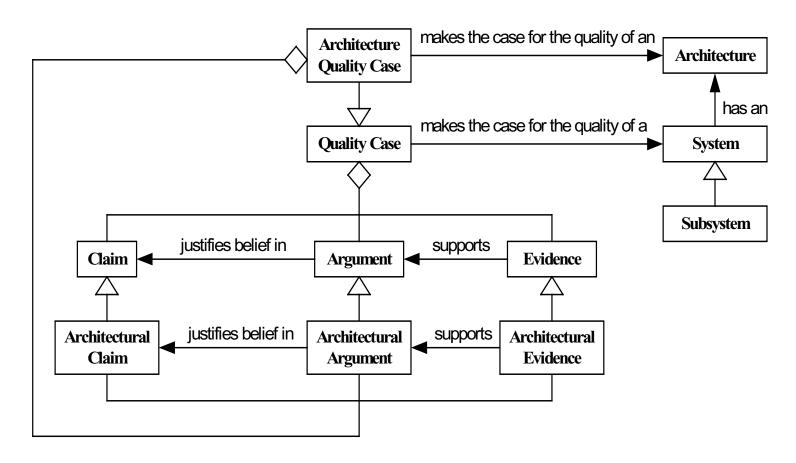


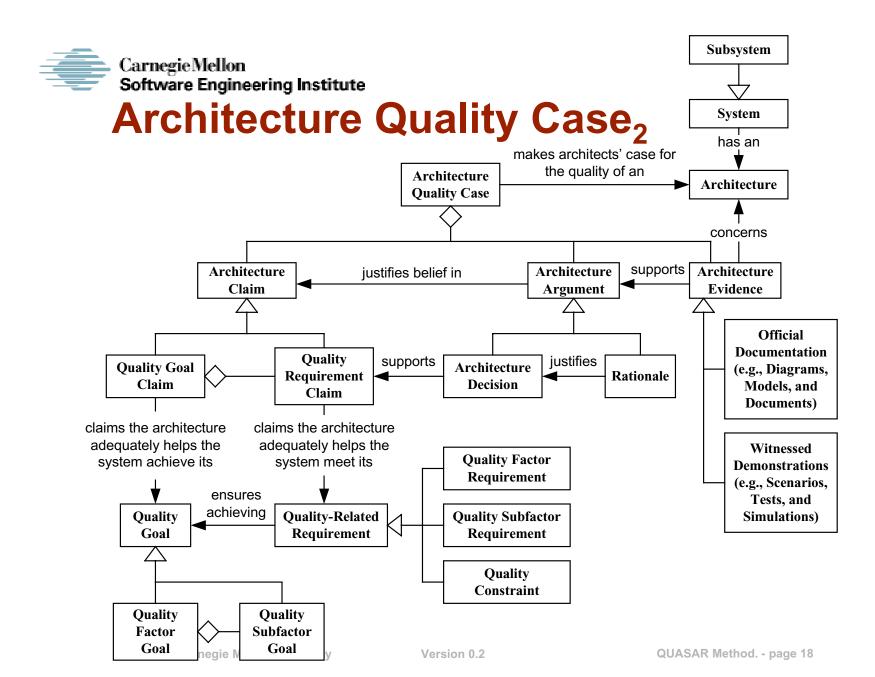
### **Quality Cases - Relationships**





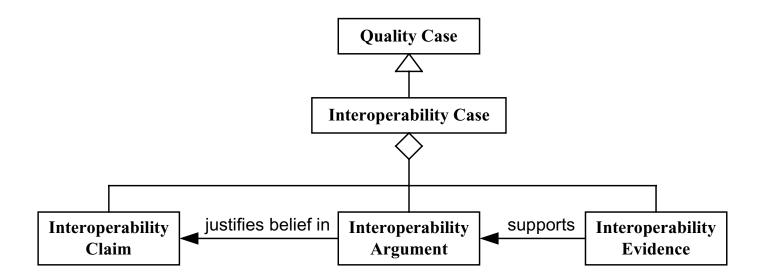
### **Architecture Quality Cases**







### Interoperability (Quality) Case





### **Quality Case Diagram**

Quality Cases contain a large amount of Information.

Claims, Arguments, and a large amount of Evidence are typically text.

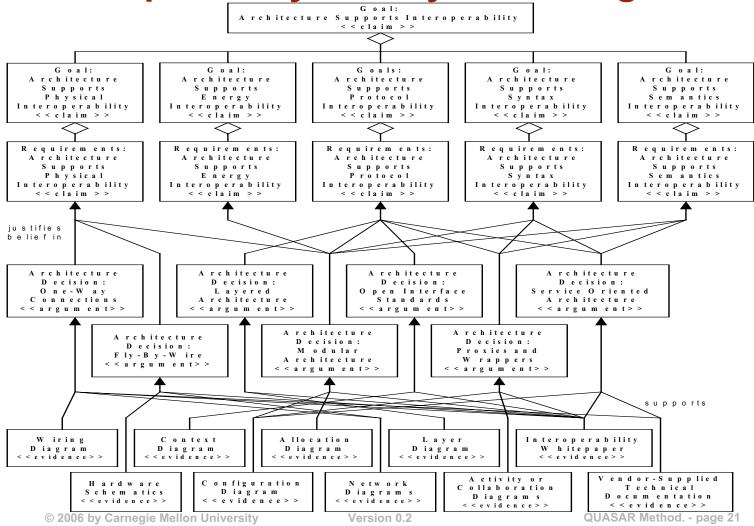
It is easy to get lost in a large, complex, textual quality case.

A quality Case Diagram is a layered UML class diagram that labels and summarizes the parts of a single quality case:

- Claims:
  - Quality Goals
  - Quality Requirements
- Arguments:
  - Architectural Decisions
  - Rationale
- Evidence:
  - Documentation
  - Witnessed Demonstrations

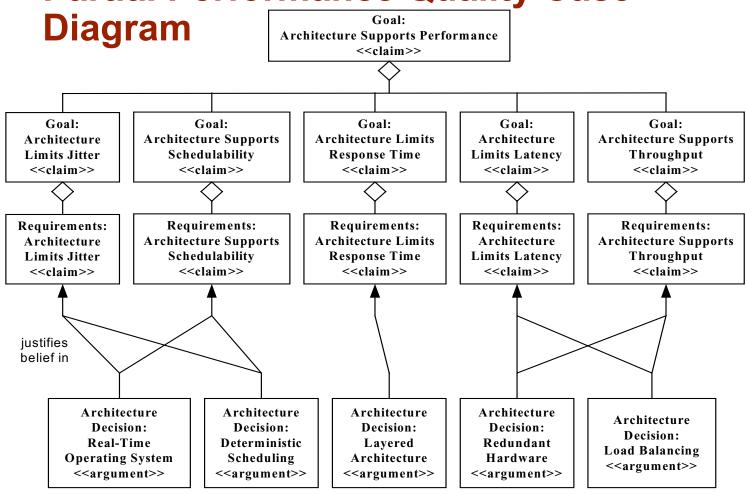


Interoperability Quality Case Diagram





Partial Performance Quality Case





#### **QUASAR Assessment Process**

#### Four Phases:

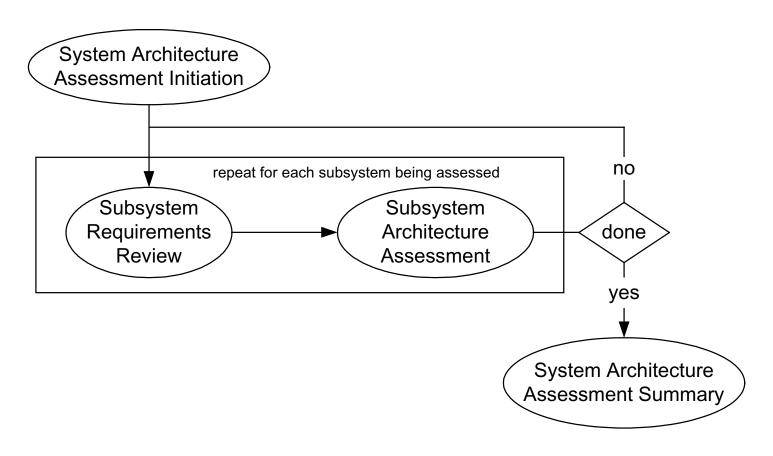
- 1. System Architecture Assessment Initiation (SAAI) For each Subsystem to be assessed:
  - 2. Subsystem Requirements Review (SRR)
  - 3. Subsystem Architecture Assessment (SAA)
- 4. System Architecture Assessment Summary (SAAS)

#### Each Phase consists of 3 Tasks:

- Preparation
- Meeting
- Follow-Through



#### **QUASAR Phases**





#### **QUASAR Phases and Tasks**

System Architecture **Assessment Initiation** Phase Initial Follow Prep. Meeting Through

> Subsystem 1 Architecture Assessment

Subsystem Requirements Review Phase

Follow Ramts. Prep. Meeting Through Subsystem Architecture Assessment Phase

Arch. Follow Prep. Meeting Through

Subsystem 2 Assessment Architecture

Subsystem Requirements Review Phase Prep.

Rqmts. Follow Meeting Through Subsystem Architecture **Assessment Phase** 

Follow Arch. Prep. Meeting Through

Architecture Assessment Subsystem N

Subsystem Requirements Review Phase Follow

Ramts. Prep. Through Meeting

Subsystem Architecture Assessment Phase

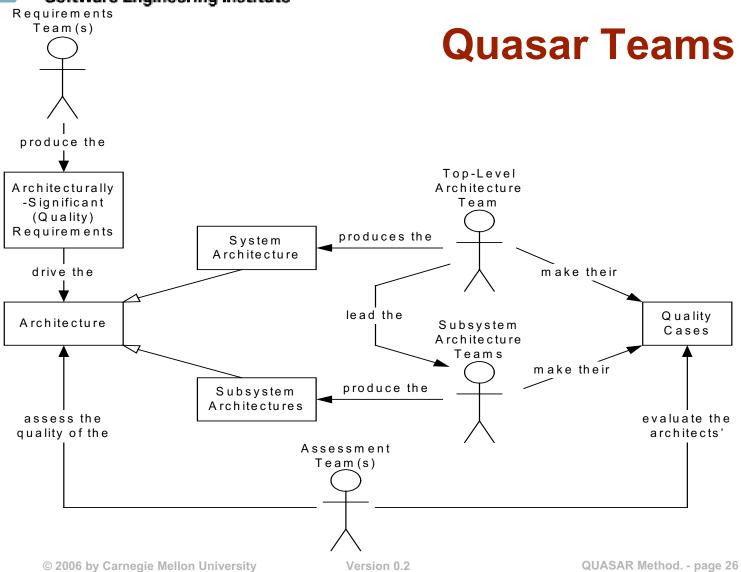
Arch. Follow Prep. Meeting Through

Time (not to scale) →

System Architecture **Assessment Summary** Phase

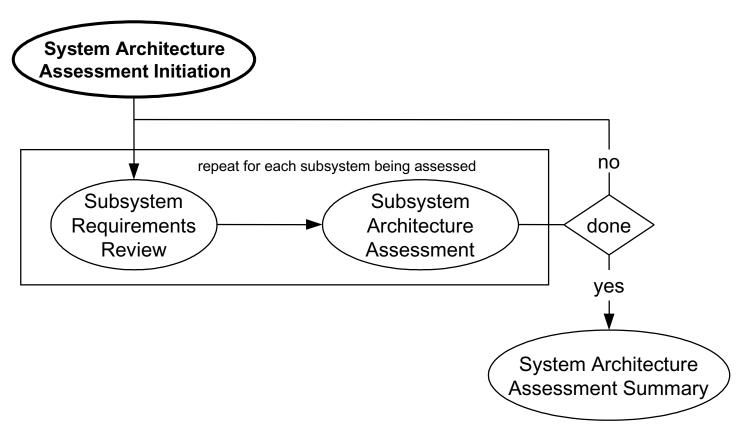
Final Follow Prep. Meeting Through







# System Architecture Assessment Initiation (SAAI) Phase





### **SAAI** Topics

**SAAI** Phase Objectives

SAAI Phase Principles

**SAAI** Phase Context

**SAAI Phase Overview** 

- SAAI Preparation Task
- SAAI Meeting Task
- SAAI Follow-Through Task

SAAI Roles and Responsibilities

Discussion



### **SAAI Phase Objectives**

Prepare the teams

**Develop Consensus:** 

- Scope the Assessments
- Schedule the Assessments
- Tailor the Assessment Process and Training Materials
- Capture Lessons Learned

Produce and Publish Meeting Outbrief and Minutes Manage Action Items



### **SAAI Phase Principles**

Need to Develop Consensus between Assessors and Assesses

Need to Tailor Process to meet specific Needs of the Overall Assessment

Scope of Assessment should match Project Needs and Resources

Subsystem Assessments must be scheduled to ensure required Resources



#### **SAAI Phase Context**

System Architecture Assessment Initiation Phase

Prep. | Initial Follow Meeting Through

Subsystem 1 Architecture Assessment

Subsystem Requirements
Review Phase

Prep. Rqmts. Follow Through

Subsystem Architecture Assessment Phase

Prep. Arch. Follow Through

Subsystem 2 Architecture Assessment Subsystem Requirements
Review Phase

Prep. Rqmts. Follow
Meeting Through

Subsystem Architecture Assessment Phase

Prep. Arch. Follow Through

\_\_\_\_

Subsystem N Architecture Assessment

Subsystem Requirements
Review Phase

Rgmts. Follow

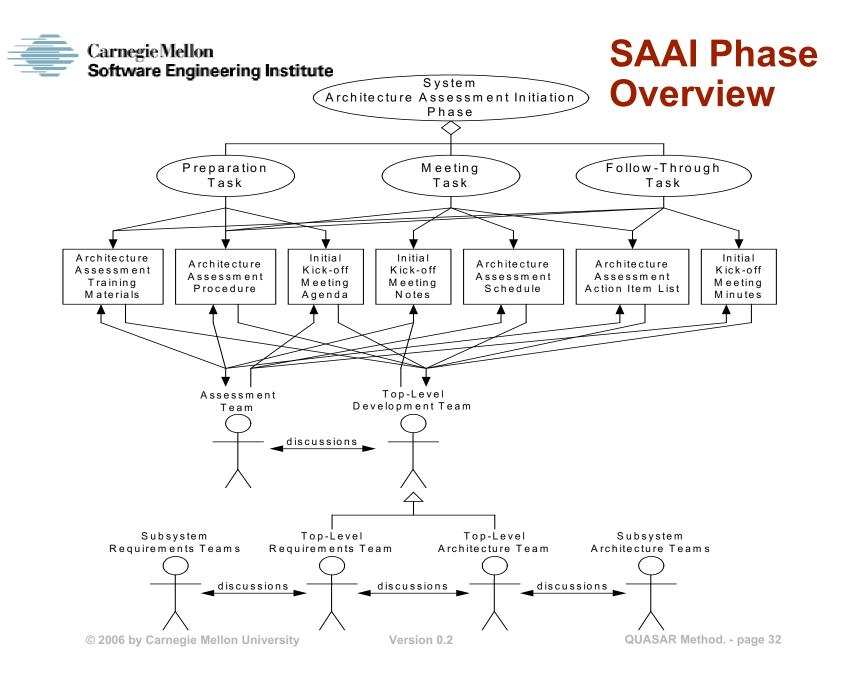
Prep. Rqmts. Follow Meeting Through Subsystem Architecture Assessment Phase

Prep. Arch. Follow Through

Time (not to scale) →

System Architecture Assessment Summary Phase

Prep. Final Follow Meeting Through





### **SAAI Preparation Task**

#### Steps:

- Staff Assessment Team
- Train Assessment Team
- Assessment Team Identifies the Top-Level Development Team (Top-Level Requirements Engineers & Architects)
- Assessment Team Trains Top-Level Development Team
- Teams Collaborate to Organize Meeting (attendees, time, location, agenda)



### **SAAI Meeting Task**

#### Steps:

- Teams Collaborate to Determine Assessment Scope:
  - Architecturally Significant Requirements
  - Subsystems
  - Assessment Resources
- Teams Collaborate to Develop Initial Assessment Schedule
- Teams Collaborate to Tailor Assessment Process
- Assessment Team Manages Action Items



### **SAAI** Follow-Through Task

#### Steps:

- Assessment Team develops and presents Meeting Outbrief
- Assessment Team develops, reviews, and distributes
   Meeting Minutes
- Assessment Team tailors and distributes:
  - Assessment Procedure
  - Assessment Training Material
- Assessment Team distributes Assessment Schedule
- Teams obtain Needed Resources
- Assessment Team captures Lessons Learned
- Assessment Team Manages Action Items



#### **SAAI** Roles and Responsibilities

The system architecture assessment initiation phase is performed by the following teams:

- Assessment Team
- Top-Level Development Team:
  - Top-Level Requirements Team with input from Subsystem Requirements Teams
  - Top-Level Architecture Team with input from Subsystem Requirements Teams



### **Assessment Team Membership**

Assessment Team Leader

Assessors

Meeting Facilitator

Subsystem Liaison

**Subject Matter Experts** 

Scribe



### **Assessment Team Responsibilities**

Provide Architecture Assessment Training Materials

Provide Architecture Assessment Procedure

Collaborate to Tailor Architecture Assessment Procedure

Collaborate to provide Initial Kick-Off Meeting Agenda

Take Initial Kick-Off Meeting Notes

Collaborate to Develop Assessment Schedule

Produce Architecture Assessment Action Item List

Produce Outbrief and Meeting Minutes



#### **Development Team Membership**

#### Top-Level Requirements Team:

- Lead Requirements Engineer
- System Requirements Engineers
- Subsystem Requirements Engineers

#### Top-Level Architecture Team:

- Lead System Architect
- System Architects
- Subsystem Architects



#### **Development Team Responsibilities**

Read Architecture Assessment Training Materials
Read Architecture Assessment Procedure
Collaborate to Tailor Architecture Assessment Procedure
Collaborate to provide Initial Kick-Off Meeting Agenda
Take Initial Kick-Off Meeting Notes
Collaborate to Develop Assessment Schedule



#### **SAAI Discussion**

What is the main objectives of the system architecture assessment initiation phase?

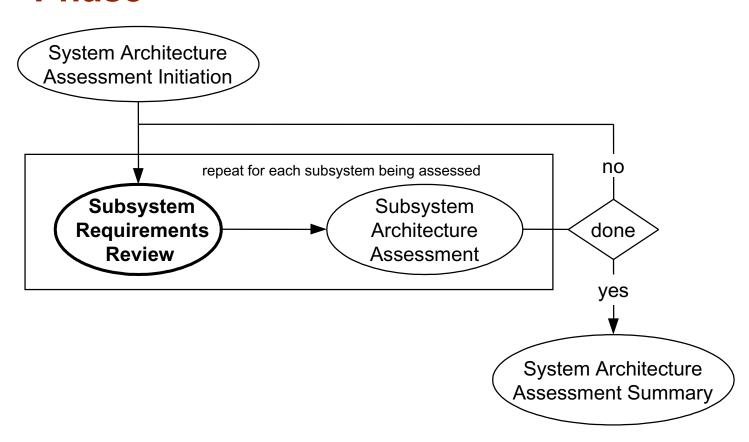
What are the three tasks comprising the SAAI phase?

What teams are involved?

What are the memberships and responsibilities of these teams?



## **Subsystem Requirements Review (SRR) Phase**





### **SRR Topics**

SRR Questions for the Attendees

**SRR Phase Objectives** 

SRR Phase Principles

SRR Phase Challenges

SRR Phase Context

SRR Phase Overview

- SRR Preparation Task
- SRR Meeting Task
- SRR Follow-Through Task

SRR Roles and Responsibilities

Discussion



### SRR Questions for the Attendees<sub>1</sub>

As a *requirements engineer*, what are your biggest problems with respect to engineering (e.g., identifying, deriving, analyzing, specifying, and managing) system and subsystem requirements that significantly impact the architecture?

As a *system architect*, what are your biggest problems with respect to the system requirements that significantly impact the architecture?

As a *subsystem architect*, what are your biggest problems with respect to the derived architecturally significant requirements that have been allocated to your subsystem?



### **Key Questions for the Attendees**<sub>2</sub>

# How can you know the architecture is 'good enough' if the requirements do not specify exactly how good it has to be?

- How else can the architects:
  - Make engineering trade-offs among the different quality factors?
  - Know when the architecture is done?
- How can the architecture assessors assess the quality of the architecture without having requirements against which to make the assessment?
- How can testers determine success or failure without measurable test completion criteria?
- How can *managers* know the quality and status of the architecture without measurable indicators?



### **SRR Phase Objectives**

#### Ensure that the:

- Architecturally significant requirements are properly engineered in time to support the engineering of the subsystem architecture.
- Subsystem architects know how to prepare for and support the coming subsystem architecture quality assessment.



#### **Architecturally Significant Requirements**

Architecturally Significant Requirement
Any requirement that has a significant impact on the system architecture

Quality requirements are typically the most important architecturally significant requirements.

#### **Definition**

Any requirement that specifies a minimum level of quality



#### **Quality Requirements**

#### **Format**

The system shall do X with threshold Y under condition(s) Z.

#### Bad Example(s)

The system shall be highly reliable, robust, safe, secure, stable, etc.

#### Good Example (Stability)

The system shall ensure that the mean time between the failure of non-critical functionality\* causing the failure of critical functionality\* is least 5,000 hours of continuous operation under normal operating conditions\*.

\* Must be properly defined in the project glossary.



### **SRR Phase Principles**<sub>1</sub>

Not all requirements are architecturally significant.

Quality requirements should be major drivers of the system architecture.

Quality requirements should specify a minimum required amount of some type of quality.

Quality requirements should be:

- Unambiguous
- Feasible
- Complete
- Consistent
- Mandatory
- Verifiable
- Validatable



### **SRR Phase Principles**<sub>2</sub>

Quality requirements should be organized according to a quality model that defines quality factors (a.k.a., attributes, "ilities") and their quality subfactors:

- Availability
- Interoperability
- Performance
  - Jitter, Response Time, Schedulability, and Throughput
- Portability
- Reliability
- Safety
- Security
- Usability



### **SRR Phase Principles**<sub>3</sub>

Different quality factors are important for different subsystems.

- Performance is paramount for some subsystems.
- Security is more important for other subsystems.

Engineering architecturally significant requirements is the responsibility of the requirements team, not the architecture team and not the assessment team.

- Architects and assessors are not qualified to engineer quality requirements.
- Many stakeholders need quality requirements.
- Architecture assessment time is too late to engineer quality requirements.



### SRR Phase Challenges<sub>1</sub>

Architects are rarely given/allocated a *complete* set of architecturally significant requirements.

These architecturally significant requirements rarely include quality requirements for *all* of the relevant quality factors and subfactors.



### SRR Phase Challenges<sub>2</sub>

The quality of the derived and allocated architecturally significant requirements are typically poor:

- Requirements are often ambiguous.
  - "The system shall be safe and secure."
- Requirements rarely specify *thresholds* on relevant quality measurement scales.
  - "The system shall have adequate availability."
- Requirements are often mutually inconsistent.
  - Security vs. usability, performance vs. reliability.
- Many requirements are *infeasible* (or at least impractical) if taken literally.
  - "The system shall have 99.99999 reliability."



### SRR Phase Challenges<sub>3</sub>

Requirements are often unstable.

Specialty engineering requirements (e.g., reliability, safety, security) are often documented separately from the functional requirements.

The architecturally significant requirements are often *improperly* prioritized for implementation.

The subsystem architects often do not understand how to prepare for an architecture assessment.

- Too busy
- Not trained
- No standards exist
- Bias against assessments/audits



### SRR Phase Challenges<sub>4</sub>

The subsystem architects do not understand how to give the assessment team the information they need to assess the architecture:

- How good must the architecture be to *sufficiently* supports its derived and allocated quality requirements (i.e., to 'pass' the assessment)?
- What architectural decisions did the architects make to support the quality goals and requirements?
- What were the rationales for these decisions?
- What is the official documentation of actual architectural decisions?
  - Not plans and procedures
  - Official program documentation
  - Not hastily produced PowerPoint slides



#### **SRR Phase Context**

System Architecture
Assessment Initiation
Phase

Prep. Initial Follow
Meeting Through

Subsystem 1 Architecture Assessment

Subsystem Requirements Review Phase

Prep. Rqmts. Follow Through

Subsystem Architecture Assessment Phase

Prep. Arch. Follow Meeting Through

Architecture

Architecture

Architecture

Assessment

Review Phase

Prep.

Regular

Regular

Regular

Regular

Regular

Regular

Regular

Follow

Through

Subsystem Architecture Assessment Phase

Prep. Arch. Follow Through

Subsystem N Architecture Assessment

Subsystem Requirements
Review Phase
Ramts. Follow

Prep. Rqmts. Follow Meeting Through

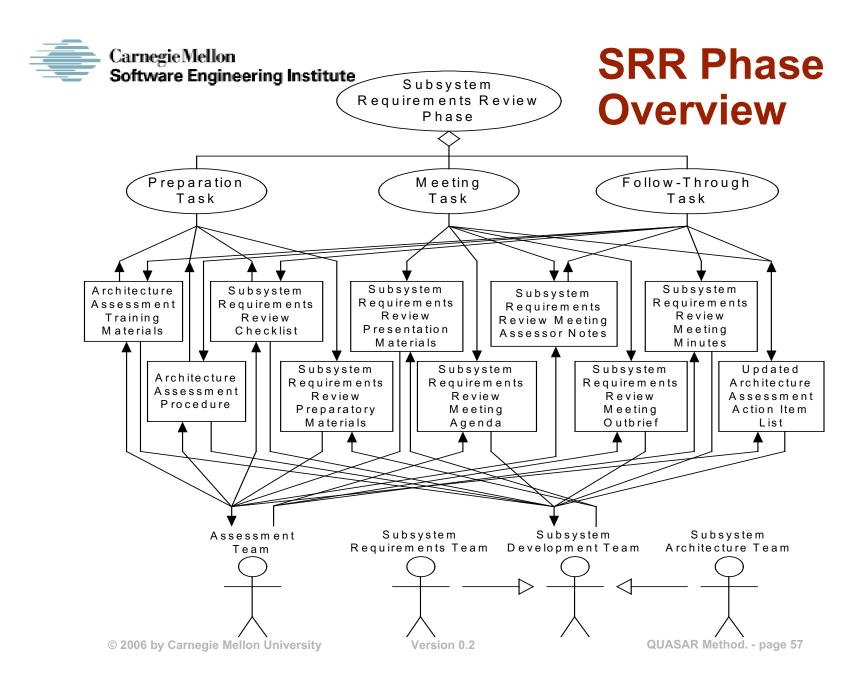
Subsystem Architecture Assessment Phase

Prep. Arch. Follow Through

Time (not to scale) →

System Architecture Assessment Summary Phase

Prep. Final Follow Meeting Through





#### **SRR Preparation Task**

#### Steps:

- Subsystem Requirements Team provides access to the architecturally significant subsystem requirements as well as a summary of these requirements
- Subsystem Architecture Team provides sample of planned Quality Cases
- Subsystem Assessment Team reviews this information prior to the meeting



#### **SRR Meeting Task**

#### Steps:

- Subsystem Requirements Team presents Summary of the architecturally significant subsystem requirements (organized by quality factor and quality subfactors)
- Subsystem Assessment Team recommends Improvements
- Subsystem Architecture Team presents sample of planned Quality Cases
- Subsystem Assessment Team recommends Improvements
- Assessment Team Manages Action Items



### **SRR Follow-Through Task**

#### Steps:

- Subsystem Assessment Team presents Outbrief
- Subsystem Assessment Team develops and publishes Meeting Minutes containing recommendations for improving:
  - Architecturally significant subsystem requirements
  - Quality Cases
- Assessment Team tailors and distributes updated Assessment Procedure and Assessment Training Material (for future requirements reviews)
- Assessment Team captures Lessons Learned
- Assessment Team Manages Action Items



#### **SRR Roles and Responsibilities**

The subsystem requirements review phase is performed by the following three teams:

- Subsystem Requirements Team
- Subsystem Architecture Team
- Subsystem Assessment Team



### **Subsystem Requirement Team**

- Work with specialty engineering teams to engineer the architecturally significant subsystem requirements
- Provide these requirements to the subsystem architecture team in time to drive the subsystem architecture
- Provide the subsystem assessment team with access to these requirements sufficiently prior to the meeting
- Summarize these requirements at the requirements review meeting
- Answer questions from the assessment team (and architecture team)



### **Subsystem Architecture Team**

- Develop a proposed representative sample of the architectural information to be presented during the coming subsystem architecture assessment meeting:
  - Architectural decisions and rationale
  - Supporting evidence
- Present this information to the subsystem assessment team
- Ask questions (if necessary) of the:
  - Subsystem requirements team (regarding architecturally significant requirements)
  - Subsystem assessment team (regarding the assessment process and adequacy of proposed sample architectural decisions, rationale, and evidence



### Subsystem Assessment Team<sub>1</sub>

- Review supplied information prior to the requirements review meeting
- Ensure that the architecturally significant requirements are adequately engineered to support the subsystem architecture assessment.
- Ensure that the proposed architectural information to will be adequate to support the coming subsystem architecture assessment meeting
- Answer questions from and provide advice to the:
  - Requirements team regarding the architecturally significant requirements
  - Architecture team regarding what will be expected of them during the coming subsystem architecture assessment meeting



### Subsystem Assessment Team<sub>2</sub>

- Must include members having expertise in:
  - Requirements engineering and quality requirements
  - The system architecture quality assessment method (with all members having been trained in the method)
- Should include members having experience in the subsystem application domain(s) such as avionics, sensors, or weapons



#### **SRR Discussion Questions**

What is the two main objectives of the subsystem requirements review?

How often should subsystem requirements reviews be performed?

When should subsystem requirements reviews be performed?

What are the three tasks comprising the subsystem requirements review?

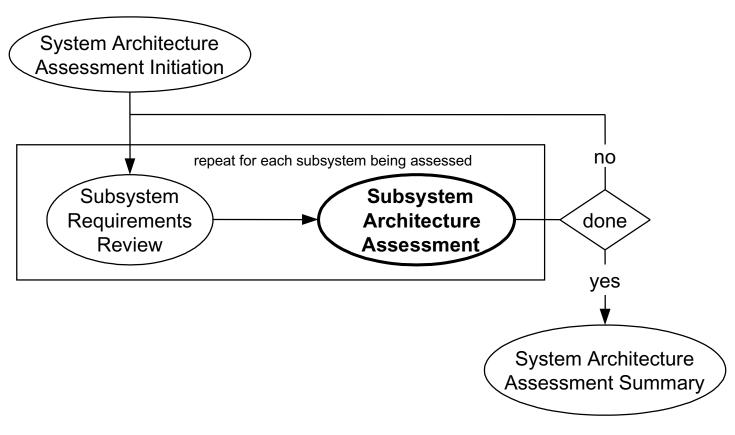
What are the objectives of these three tasks?

What teams are involved?

What are the responsibilities of these teams?



# **Subsystem Architecture Assessment** (SAA)





### **SAA Topics**

SAA Questions for the Attendees

SAA Phase Objectives

SAA Phase Principles

SAA Phase Challenges

**SAA Phase Context** 

SAA Phase Overview:

- SAA Preparation Task
- SAA Meeting Task
- SAA Follow-Through Task

SAA Roles and Responsibilities

Discussion



### SAA Questions for the Attendees<sub>1</sub>

As a *subsystem architect*, what are your biggest problems with respect to:

- Engineering the subsystem architecture?
- Ensuring that the subsystem architecture adequately meets its architecturally significant requirements?
- Internally reviewing/evaluating the quality of the subsystem architecture?
- Supporting independent assessments of the quality of your subsystem architecture?

As an *independent assessor* (e.g., PO of prime contractor, prime contractor of subcontractor), what are your biggest problems with respect to independently assessing the quality of an acquired subsystem's architecture?



### **SAA Questions for the Attendees**<sub>2</sub>

Is the quality of your architectures being independently assessed?

How are your architectures being assessed?

Who is assessing your architectures?

What do you see as the biggest problems with respect to how your architectures are being assessed?

- Are your assessors using an effective and efficient process for assessing your architectures?
- Do you know what is expected of you during the system architecture assessments?
- Do you develop adequate documentation as a natural part of the architecture process?
- Is the architecture documentation you develop adequate to support assessments?



### **SAA Objectives**

Assess Quality of Subsystem Architecture in terms of:

- Architectures support for its derived and allocated architecturally significant requirements
- Architectural Quality Cases



# SAA Principles<sub>1</sub>

Quality architecture assessments should be organized according to a quality model that defines quality factors (a.k.a., attributes, "ilities") and their quality subfactors:

- Availability
- Interoperability
- Performance
  - Jitter, Response Time, Schedulability, and Throughput
- Portability
- Reliability
- Safety
- Security
- Usability



# **SAA Principles**<sub>2</sub>

The subsystem architects should know:

- What quality goals and requirements drove the development of their architectures.
- What architectural decisions they made.
- Why they made these decisions.
- Where these decisions are documented.

Because the subsystem architects should already have documented this information as a natural part of their architecting method, little new documentation should be necessary for the subsystem architects to make their cases to the subsystem assessment team.

The subsystem architects are responsible for making their own cases that their architectures adequately support their derived and allocated quality requirements.



# **SAA Phase Challenges**

Architects may not have developed quality cases as a natural part of their architecting process:

- Architectural documentation typically not organized by quality factors.
- Quality case evidence is often buried in and scattered throughout massive amounts of architectural documentation.
- Architectural models (e.g., UML) often do not address support for quality requirements.

Architecture assessments may not be:

- Mandated by contract or development process
- Scheduled and funded

Managers feel schedule pressures do not allow time for assessment.



### **SAA Context**

System Architecture **Assessment Initiation** Phase

Initial Follow Prep. Meeting Through

> Subsystem 1 Architecture Assessment

Subsystem Requirements Review Phase

Follow Rqmts. Prep. Meeting Through Subsystem Architecture Assessment Phase

Arch. Prep. Meeting

Follow Through

Subsystem 2 Architecture Assessment

Subsystem Requirements Review Phase

Rqmts. Follow Meeting Through Subsystem Architecture Assessment Phase

Follow Arch. Prep. Meeting Through

Architecture Assessment Subsystem N

Prep.

Subsystem Requirements Review Phase

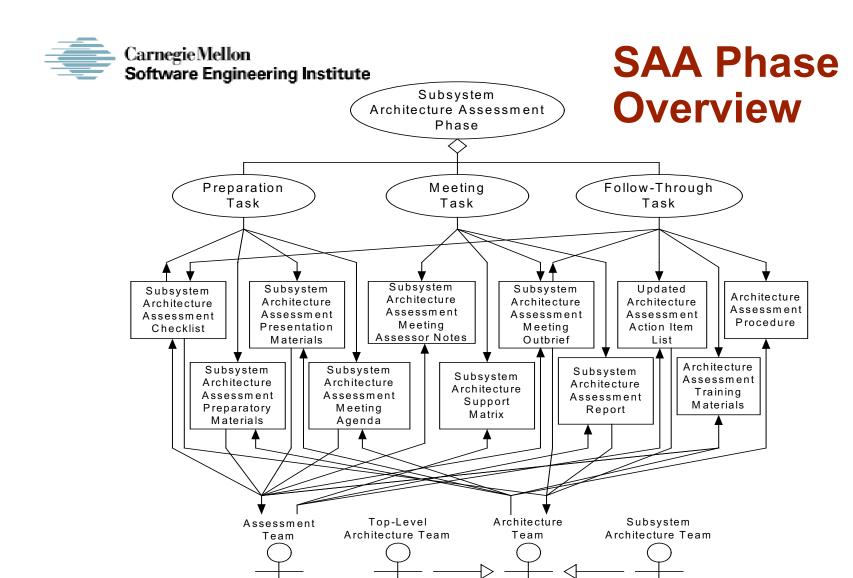
Ramts. Follow Prep. Meeting Through Subsystem Architecture **Assessment Phase** 

Arch. Follow Prep. Meeting Through

Time (not to scale) →

System Architecture Assessment Summary Phase

Final Follow Prep. Meeting Through





# **SAA** Preparation Task

- Subsystem Assessment Team Provides Assessment Checklist
- Subsystem Architecture Team Gathers (Generates) and Makes Available Preparatory Materials:
  - Subsystem Architecture Overview
  - Updated Quality Requirements
  - Quality Cases including Arguments and Evidence
- Subsystem Architecture Team Gathers (Generates) and Makes Available Presentation Materials
- Subsystem Assessment Team:
  - Reads Materials
  - Generates RFIs and RFAs
- Teams Collaborate to Organize Assessment Meeting (Attendees, Time, Location, Agenda, Invitation)



### **SAA Meeting Task**

- Subsystem Architecture Team:
  - Introduces Subsystem Architecture (purpose, location, context, functions)
  - Reviews Architecturally-Significant Requirements
  - Introduces Subsystem Architecture (components, relationships, major decisions, trade-offs)
  - Present Quality Cases (claims, arguments, and evidence)
- Subsystem Assessment Team:
  - Probes Architecture (quality case by quality case)
  - Manages Action Items



# **SAA Follow-Through Task**

- Subsystem Assessment Team:
  - Develops Consensus
  - Produces, Reviews, and Presents Meeting Outbrief
  - Produces, Reviews, and Presents Subsystem Assessment Report
  - Manages Action Items
  - Captures Lessons Learned
  - Updates Assessment Method and Training Materials



# **SAA** Roles and Responsibilities

The Subsystem Architecture Assessment Phase is performed by the following teams:

- Subsystem Architecture Team
- Subsystem Assessment Team



# **Subsystem Architecture Team**

#### Responsibilities:

- Develop the architectural information to be presented during the meeting:
  - Architectural decisions and rationale
  - Supporting evidence
- Present this information to the subsystem assessment team
- Answer probing questions raised by the subsystem assessment team:



# **Subsystem Assessment Team**

#### Responsibilities:

- Review supplied information prior to the subsystem architecture assessment meeting
- Assess the quality of the subsystem architecture:
  - Actively listen to the quality cases presented by the subsystem architecture team
  - Ask probing questions of Architects



### **SAA Discussion**

Should the quality cases be developed as a:

- Natural part of the architecting process?
- Part of the assessment process?

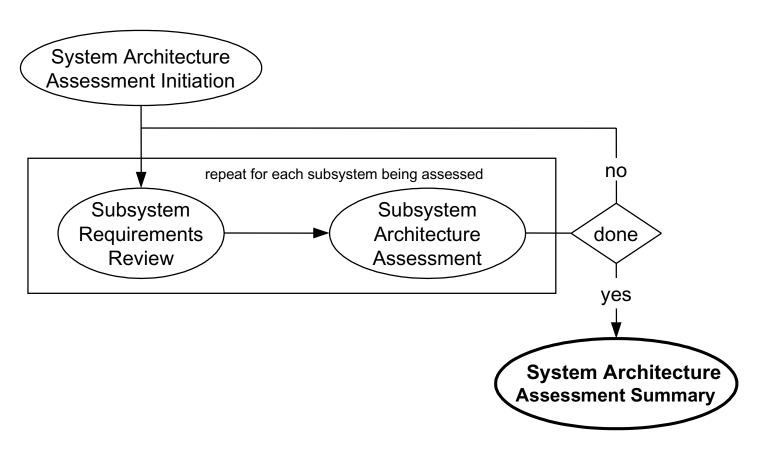
How does the answer to the previous question affect the amount of time needed to prepare for the assessment meeting?

Which team has the most work to do during each task?

How should the development of the subsystem assessment report be divided up between members of the assessment team?



# System Architecture Assessment Summary (SAAS)





# **SAAS** Topics

SAAS Questions for the Attendees

**SAAS Phase Objectives** 

**SAAS** Phase Principles

SAAS Phase Challenges

SAAS Phase Context

SAAS Phase Overview:

- SAAS Preparation Task
- SAAS Meeting Task
- SAAS Follow-Through Task

SAAS Roles and Responsibilities

Discussion



### **SAAS** Questions for the Attendees

How do you summarize the results of subsystem assessments at the system level?

Should the system architecture assessment summary phase be performed:

- Once at the end?
- On an ongoing rolling-wave basis?



# **SAAS Objectives**

Collect previous Subsystem Architecture Assessment Results

Create System Architecture Assessment Summarize Results

Capture Method Lessons Learned

**Update Assessment Method and Training Materials** 



### **SAAS Principles**

All subsystems are not equally important.

All quality factors are not equally important for different subsystems.

It is probably better to concentrate on identifying problem/risk areas so that they can be fixed than to provide an overall summary assessment result.



# **SAAS Phase Challenges**

How should subsystem findings be summarized without ending up comparing apples and oranges?

- Average Subsystem Architecture Quality
- Worst Subsystem Architecture Quality
- Union of Subsystem Architecture Qualities

Executive management may demand simplistic single number summary of system architecture.



### **SAAS Context**

System Architecture Assessment Initiation Phase

Prep. Initial Follow Meeting Through

Subsystem 1 Architecture Assessment Subsystem Requirements Review Phase

Prep. Rqmts. Follow Through

Subsystem Architecture Assessment Phase

Prep. Arch. Follow Meeting Through

Subsystem 2 Architecture Assessment

Subsystem Requirements Review Phase

Prep. Rqmts. Follow Through

Subsystem Architecture Assessment Phase

Prep. Arch. Follow Through

----

Subsystem N Architecture Assessment

Subsystem Requirements Review Phase

Prep. Rqmts. Follow Through

Subsystem Architecture Assessment Phase

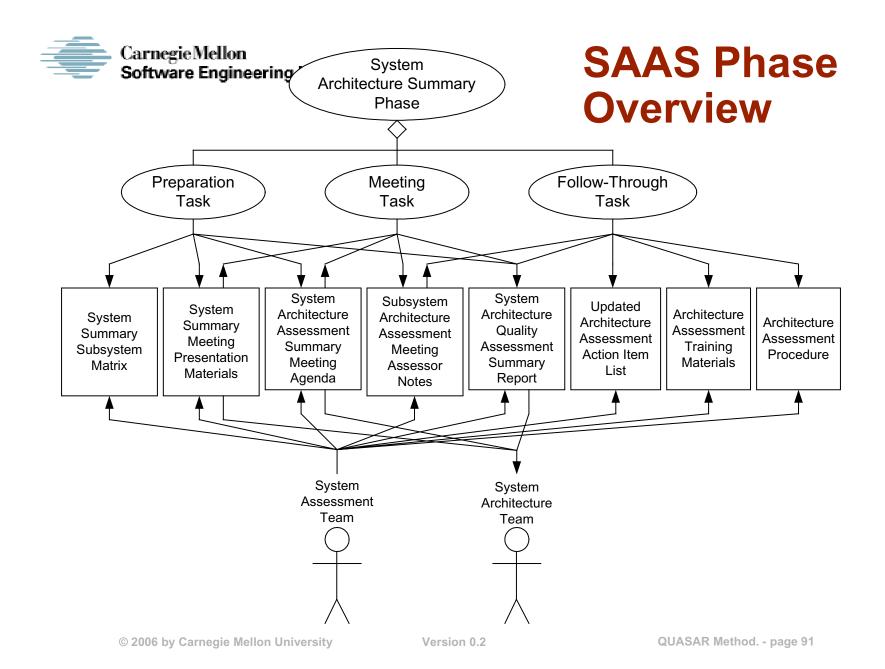
Prep. Arch. Follow Through

Time (not to scale) →

System Architecture Assessment Summary Phase

Prep. Final Follow Meeting Through

QUASAR Method. - page 90





# **SAAS** Preparation Task

- System Assessment Team:
  - Collects Subsystem Architecture Assessment Results
  - Summarizes Subsystem Architecture Assessment Results
    - Develops Subsystem Architecture Support Matrix
  - Identifies Primary Stakeholders
  - Produces, Reviews, and Distributes:
    - System Architecture Quality Assessment Summary Report
    - Preparatory Materials
    - Meeting Agenda
  - Organizes Meeting



### **SAAS** Meeting Task

- System Assessment Team:
  - Restates Assessment Objectives
  - Summarizes Assessment Method
  - Summarizes Quality of Subsystem Architectures
  - Summarizes Quality of System Architecture
  - Solicits Feedback
  - Captures Lessons Learned
- System Architecture Team:
  - Captures Lessons Learned



### **SAAS Follow-Through Task**

- System Assessment Team:
  - Updates and Distributes the System Architecture Assessment Summary Report
  - Manages Action Items
  - Updates Assessment Method and Training Materials
- System Architecture Team:
  - Updates Architecture Method and Training Materials



# **SAAS** Responsibilities

#### System Assessment Team:

- Develop and Present System-Level Architecture Assessment Summary Results
- Capture Lessons Learned
- Update Assessment Method and Training Materials

#### System Architecture Team:

- Validate Assessment Results
- Capture Lessons Learned
- Update Architecture Method and Training Materials

#### Management Team:

Manage Architectural Risks



### **SAAS Discussion**

For a given quality factor, what is the best way to summarize the quality of the system architecture in terms of the quality of the architecture of the main subsystems?

- Average subsystem quality?
- Worst subsystem quality?
- Keep separate by listing individually?

What is the best way to summarize across all quality factors?

- Average value?
- · Worst value?
- Keep separate by listing individually?



# **QUASAR Today and Tomorrow**

#### Today:

- In-use on massive DoD Program
- Handbook published
- Provided as SEI Service

#### **Future Plans:**

- More Conference Tutorials
- QUASAR Training Materials and Classes
- QUASAR Articles
- Use and Validation on more Programs
- QUASAR Book



### **QUASAR Handbook**

#### **Intended Audiences:**

- Acquisition Personnel
- Developers (Architects and Requirements Engineers)
- Subject Matter Experts (domain, specialty engineering)
- Consultants
- Trainers

#### Objectives:

- Completely Document the QUASAR method
- Enable Readers to start using QUASAR

#### Description:

- Very Complete
- Too comprehensive to be good first introduction



### **Contact Information**

For more information, contact:

Donald Firesmith
Acquisition Support Program
Software Engineering Institute
dgf@sei.cmu.edu